

## **SUPPLEMENTARY MATERIALS**

### **First-in-Human Safety and Efficacy Study on Combination of High-Intensity Focused Ultrasound Sonication and Micellar Nanoparticle-Encapsulated Epirubicin, K-912; a Novel Sonodynamic Therapy for the Treatment of Refractory Abdominal Cancers**

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**Table S1** Inclusion and exclusion criteria

**Table S2** Dose escalation criteria

**Table S1** Inclusion and exclusion criteria

Inclusion criteria	Exclusion criteria
<ul style="list-style-type: none"> <li>• Male or female patients aged 20 to 75 years diagnosed with refractory cancer including pancreatic cancer, biliary tract cancer, bone tumors including bone metastases detectable by ultrasonography</li> <li>• Patients for whom conventional local treatments were not applicable or had not been effective</li> <li>• Patients whose tumor could be evaluated by ultrasonography, computed tomography, or magnetic resonance imaging</li> <li>• Patients in whom HIFU the sonication convergence site was secured</li> <li>• Patients who met the following <ul style="list-style-type: none"> <li>◦ Neutrophils <math>\geq 1500/\text{mm}^3</math></li> <li>◦ Platelets <math>\geq 100000/\text{mm}^3</math></li> <li>◦ Hemoglobin <math>\geq 9.0 \text{ g/dL}</math></li> <li>◦ Creatinine <math>\leq 1.5\text{mg/dL}</math></li> <li>◦ AST <math>\leq 120 \text{ IU/l}</math></li> <li>◦ ALT <math>\leq 120 \text{ IU/l}</math></li> <li>◦ Total bilirubin <math>\leq 3\text{mg/dL}</math> (in cases of pancreatic cancer and biliary tract cancer) within two weeks prior to enrollment</li> </ul> </li> <li>• Eastern Cooperative Oncology Group (ECOG) performance status was 0 – 1</li> <li>• Patients who were expected to survive for <math>\geq 12</math> weeks.</li> </ul>	<ul style="list-style-type: none"> <li>• Patients who had, or were suspected of having, malignancy in other organs</li> <li>• Patients who had a treatment history of radiotherapy</li> <li>• Patients who had a treatment history of chemotherapy within one month before registration</li> <li>• Patients who had a treatment history of HIFU within two months before registration</li> <li>• Patients who were expected to have difficulty achieving hemostasis due to treatments such as antiplatelet therapy, anticoagulation therapy, or similar treatments</li> <li>• Patients who had gastrointestinal infiltration</li> <li>• Patients who had obstructive jaundice (allowed after jaundice reduction)</li> <li>• If the tumor was adjacent to the inferior vena cava, tumor embolus was present in the inferior vena cava (allowed if the area was avoided)</li> <li>• If the tumor was adjacent to the aorta and calcification lesions were present in the aorta (allowed if the area was avoided)</li> <li>• Patients who had cystic components in pancreatic cancer</li> <li>• Patients who had been using anthracycline anti-tumor agents</li> <li>• Patients who had a history of severe drug hypersensitivity</li> <li>• Patients who were allergic to contrast agent</li> <li>• Patients who had severe brain disease, lung disease (interstitial pneumonia and pulmonary fibrosis confirmed by imaging)</li> <li>• Patients who had a history of congestive heart failure, symptomatic coronary artery disease,</li> </ul>

Inclusion criteria	Exclusion criteria
	<p>arrhythmia poorly controlled with medication, myocardial infarction or unstable angina pectoris within 6 months before registration</p> <ul style="list-style-type: none"><li>• Patients who had active infectious diseases requiring systemic treatment</li><li>• Patients who were pregnant or might become pregnant during treatment</li><li>• Patients found by the investigator to be unsuitable</li></ul>

**Table S2** Dose escalation criteria

Cohort	K-912 (mg/m <sup>2</sup> )	HIFU Power (W)	Number of Patients	Step up criteria				AEs				Total Number of Patients
				AEs	Action	AEs	Action	Cohort 1	Cohort 2	Cohort 3	Cohort 4	
1	30	75	3	0/3	Proceed to cohort 2	-	-	0/3	-	-	-	3
				1/3	Add 3 patients	0/3	Proceed to cohort 2	1/6	-	-	-	6
						1 - 3/3	30 mg/m <sup>2</sup> + 75 W is intolerable, K-912 is reduced by 50% and resumed at 15 mg/m <sup>2</sup> + 75 W	2 - 4/6	-	-	-	6
				2 - 3/3	30 mg/m <sup>2</sup> + 75 W is intolerable. K-912 is reduced by 50% and resumed at 15 mg/m <sup>2</sup> + 75 W	-	-	2 - 3/3	-	-	-	3
2	30	150	3	0/3	Proceed to cohort 3	-	-	0/3, 1/6	0/3	-	-	6 - 9
				1/3	Add 3 patients	0/3	Proceed to cohort 3	0/3, 1/6	1/6	-	-	9 - 12
						1 - 3/3	30 mg/m <sup>2</sup> + 150 W is intolerable. MTD: 30 mg/m <sup>2</sup> + 75 W	0/3, 1/6	2 - 4/6	-	-	9 - 12
				2 - 3/3	30 mg/m <sup>2</sup> + 150 W is intolerable. MTD: 30 mg/m <sup>2</sup> + 75 W	-	-	0/3, 1/6	2 - 3/3	-	-	6 - 9

Cohort	K-912 (mg/m <sup>2</sup> )	HIFU Power (W)	Number of Patients	Step up criteria				AEs				Total Number of Patients
				AEs	Action	AEs	Action	Cohort 1	Cohort 2	Cohort 3	Cohort 4	
3	80	75	3	0/3	Proceed to cohort 4	-	-	0/3, 1/6	0/3, 1/6	0/3	-	9 - 15
				1/3	Add 3 patients	0/3	Proceed to cohort 4	0/3, 1/6	0/3, 1/6	1/6	-	12 - 18
						1-3/3	80 mg/m <sup>2</sup> + 75 W is intolerable. MTD: 30 mg/m <sup>2</sup> + 150 W	0/3, 1/6	0/3, 1/6	2 - 4/6	-	12 - 18
				2 - 3/3	80 mg/m <sup>2</sup> + 75 W is intolerable. MTD: 30 mg/m <sup>2</sup> + 150 W	-	-	0/3, 1/6	0/3, 1/6	2 - 3/3	-	9 - 15
4	80	150	3	0/3	MTD: 80 mg/m <sup>2</sup> + 150 W	-	-	0/3, 1/6	0/3, 1/6	0/3, 1/6	0/3	12 - 21
				1/3	Add 3 patients	0/3	MTD: 80 mg/m <sup>2</sup> + 150 W	0/3, 1/6	0/3, 1/6	0/3, 1/6	1/6	15 - 24
						1-3/3	80 mg/m <sup>2</sup> + 150 W is intolerable. MTD: 80 mg/m <sup>2</sup> + 75 W	0/3, 1/6	0/3, 1/6	0/3, 1/6	2 - 4/6	15 - 24
				2 - 3/3	80 mg/m <sup>2</sup> + 150 W is intolerable. MTD: 80 mg/m <sup>2</sup> + 75 W	-	-	0/3, 1/6	0/3, 1/6	0/3, 1/6	2 - 3/3	12 - 21

AE, adverse event; HIFU, high-intensity focused ultrasound; MTD, maximum tolerated dose